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## **FACSIMILE COVER SHEET**

April 12, 2004

**TO:**

**Alex H. Chan**

US Patent and Trademark Office

Group 2633

P. O. Box

Alexandria VA 22313-1450

Telephone No. (703) 305-0340

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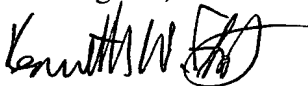
Number of pages including cover page: **11**

**COMMENTS:**

Examiner Chan,

A response to the outstanding Office Action mailed January 16, 2004 in Application Serial No. 09/845,557, filed April 30, 2001 was mailed to the PTO on March 25, 2004. However, it has been determined that, because of a database error, the Certificate of Mailing and Transmittal Letter had the wrong Application Serial No. and filing date on them. They were incorrectly identified as Serial No. 09/838,610, with a filing date of April 19, 2001. Enclosed are papers having the correct Application Serial No. and filing date. A duplicate of the Transmittal Letter is not enclosed,

Best regards,



Kenneth W. Float  
Patent Attorney  
Reg. No. 29,233

Received in the United States Patent and Trademark Office on the date stamped:  
In re patent application of: Ralph Spickermann  
Serial No. 09/838,610  
Filed: April 19, 2001  
For: Programmable Optical Vector Modulator and Method for Use in  
Coherent Optical Communication

**Enclosed: -**

- ☒ Amendment - 8 page(s)
- ☒ Transmittal letter in duplicate
- ☐ A cheque in the amount of \$
- ☒ Certificate of Mailing
- ☐

PD- 00-1006  
March 25, 2004  
Y00-025-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ralph Spickermann

Serial No. 09/845,557

Filed: April 30, 2001

For: Programmable Optical Vector Modulator and Method  
for Use in Coherent Optical Communication

: Date: March 25, 2004

: Group Art Unit: 2633

: Examiner: Alex H. Chan

TRANSMITTAL LETTER

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

☒ No additional fee is required.

☐ The fee has been calculated as shown below:

CLAIMS AS AMENDED

CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE		ADDITIONAL TOTAL
Total Claims	<u>20</u>	minus	<u>20</u>	=	<u>0</u>	X	\$ 18.00	= \$ 0.00
Independent Claims	<u>2</u>	minus	<u>3</u>	=	<u>0</u>	X	\$ 86.00	= \$ 0.00
Multiple Dependent Claims					<u>0</u>	X	\$ 290.00	= \$ 0.00
TOTAL ADDITIONAL FEES FOR THIS AMENDMENT								\$ 0.00

A cheque in the amount of \$0.00 is enclosed with this Transmittal Letter to cover these costs. This form is submitted in duplicate.

Respectfully submitted



Kenneth W. Float  
Reg. No. 29,233

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PATENT  
PD-00-1006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ralph Spickermann	: Date: March 25, 2004
Serial No. 09/845,557	: Group Art Unit: 2633
Filed: April 30, 2001	: Examiner: Alex H. Chan
For: Programmable Optical Vector Modulator and Method for Use in Coherent Optical Communication	: Batch No.:
	: Patent No.:

**CERTIFICATE OF MAILING  
UNDER 37 CFR 1.8**

The Commissioner of Patents and Trademarks  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**Identification of Transmitted Papers**

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Amendment (8 pages), Transmittal letter (in duplicate), return receipt postcard

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I hereby certify that the above-identified correspondence is being deposited with the United States Postal Service on April 12, 2004 with sufficient postage as first class mail, and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.



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